



**National Accreditation Board for
Certification Bodies (NABCB)**



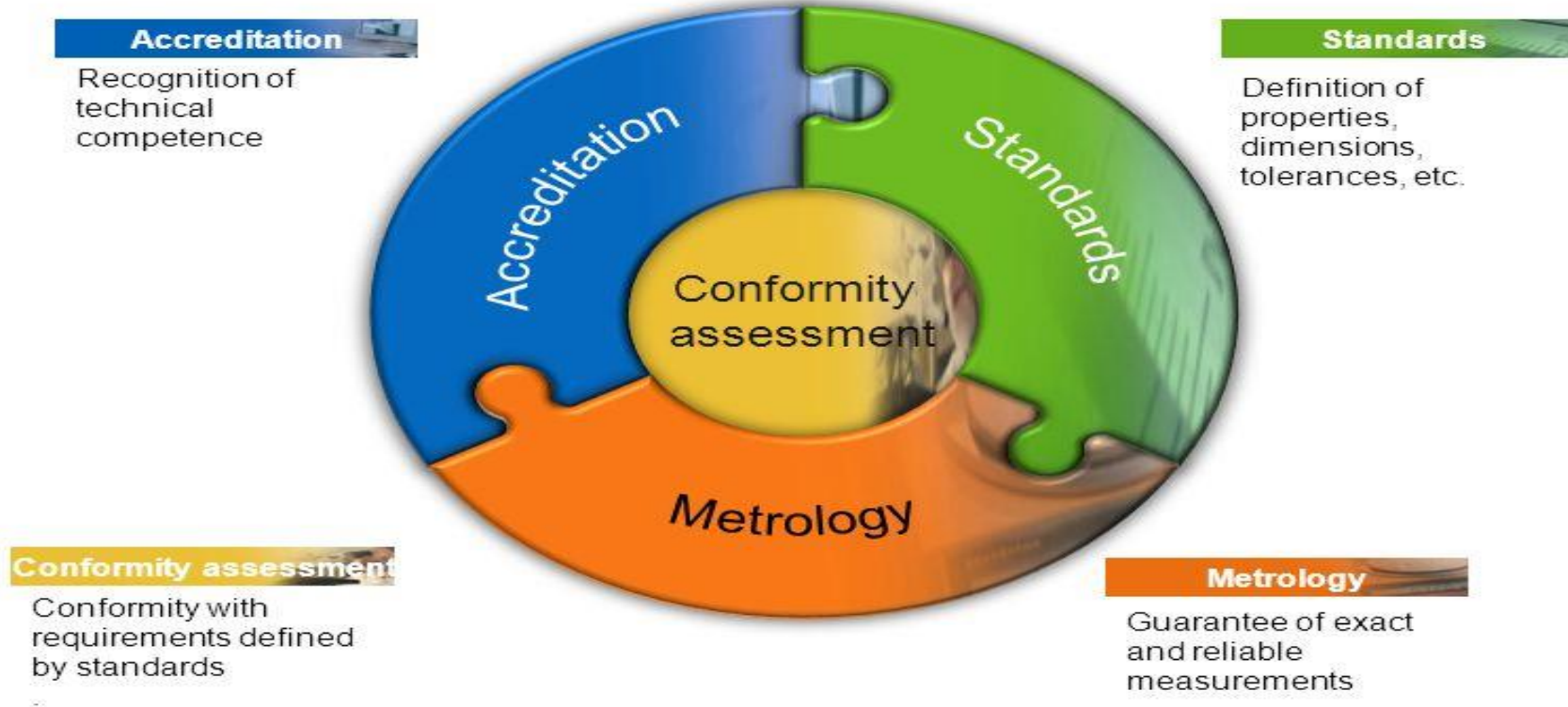
PEFC - NCCF CoC Training Session

NCCF, AB and CB Requirements

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Quality Infrastructure Elements

These elements are independently managed however, they form a close network based on a technical hierarchy.



International Scenario

- **Increasing use of standards** for **products, services, processes** and **systems** – mandatory on grounds of **health, safety, environment, national security, unfair trade practices**
- **Need for checking compliance** to prescribed standards –regulations and voluntary standards - conformity assessment – inspection/testing/certification –
- ❖ Two issues arose
 - Need for **Confidence** in conformity assessment
 - Need for **International acceptability** for facilitating trade - recognition of inspection/testing/ certification across borders
- ❖ **Accomplished through accreditation**

Provision in WTO TBT Agreement

*“Members shall ensure, whenever possible, that results of conformity assessment procedures in other Members are accepted...adequate and enduring technical competence of the relevant conformity assessment bodies in the exporting Member, so that **confidence in the continued reliability of their conformity assessment results** can exist; in this regard, verified compliance, for instance **through accreditation**, with relevant guides or recommendations issued by international standardizing bodies shall be taken into account as an indication of adequate technical competence”*

Article 6

Accreditation



A global system for creating confidence between economies with the objective to promote economic growth and free trade

Accreditation: Third-party attestation related to a conformity assessment body conveying formal demonstration of its competence to carry out specific conformity assessment tasks – **ISO/IEC 17000**

Conformity Assessment Body is a body that performs conformity assessment activities and that can be the object of accreditation – **ISO/IEC 17000**

Conformity Assessment activities includes testing, calibration, inspection, certification of management systems, persons, products, processes and services, provision of proficiency testing, production of reference materials, validation and verification – **ISO/IEC 17000**

Accreditation : Different Standards

Standards	Conformity Assessment Bodies
ISO/IEC 17011	Requirements for Accreditation Bodies
ISO/IEC 17020	Requirements for Inspection Bodies
ISO/IEC 17021-1	Requirements for Management Systems Certification Bodies – ISO 9001, 14001, 22000, 27006 ...
ISO/IEC 17065	Requirements for Certification Bodies Certifying Products, Processes and Services
ISO/IEC 17024	Requirements for Certification Bodies Certifying Personnel
ISO/IEC 17025	Requirements for Testing & Calibration Laboratories
ISO 14065	Requirements for Green House Gases Validation & Verification Bodies
ISO/IEC 17043	Requirements for Proficiency Testing Providers (PTPs)
ISO 17034	Requirements for Reference Material Producers (RMPs)

International Accreditation System

- **International Bodies** - Association of accreditation bodies – **International Accreditation Forum (IAF)** for CBs, **International Laboratory Accreditation Cooperation (ILAC)** for Labs and IBs
- **Regional Bodies (06)**– **Asia Pacific Accreditation Cooperation (APAC)** for CBs/IBs and Labs
- **Similar bodies in other Continents** – recognized by IAF/ILAC - **European Accreditation Cooperation (EA)**, **Inter American Accreditation Cooperation (IAAC)**, **Arab Accreditation Cooperation (ARAC)**; **African Accreditation Cooperation (AFRAC)**; **Southern African Development Community Cooperation in Accreditation (SADCA)**
- **Multilateral Mutual Recognition Arrangement** – MLAs in IAF, MRAs in ILAC
- **National ABs in India** - NABCB for Certification & Inspection Bodies, NABL for Laboratories, PTPs, RMPs

Role of ABs

- **In regulated sectors**, **regulator** is the authority – CDSCO in pharma, FSSAI in food – **accreditation provides support to induct 3rd party assessment** – NABCB in Medical Devices Rules, NABCB accredited food safety audit agencies – in FSSAI, NABL accredited labs in FSSAI and BEE regulations
- **In voluntary space** – **Scheme owner** is the authority – several internationally known schemes in various sectors – GlobalGAP in agriculture, FSC/PEFC in forest management, SA 8000 for social accountability, FSSC 22000/SQF/BRC/IFS in food – **accreditation as means for 3rd party bodies** – NABCB recognized by GlobalGAP, BRC, IFS, FSSC 22000, PEFC, NCCF etc

Statistics (As on 7 August 2020)

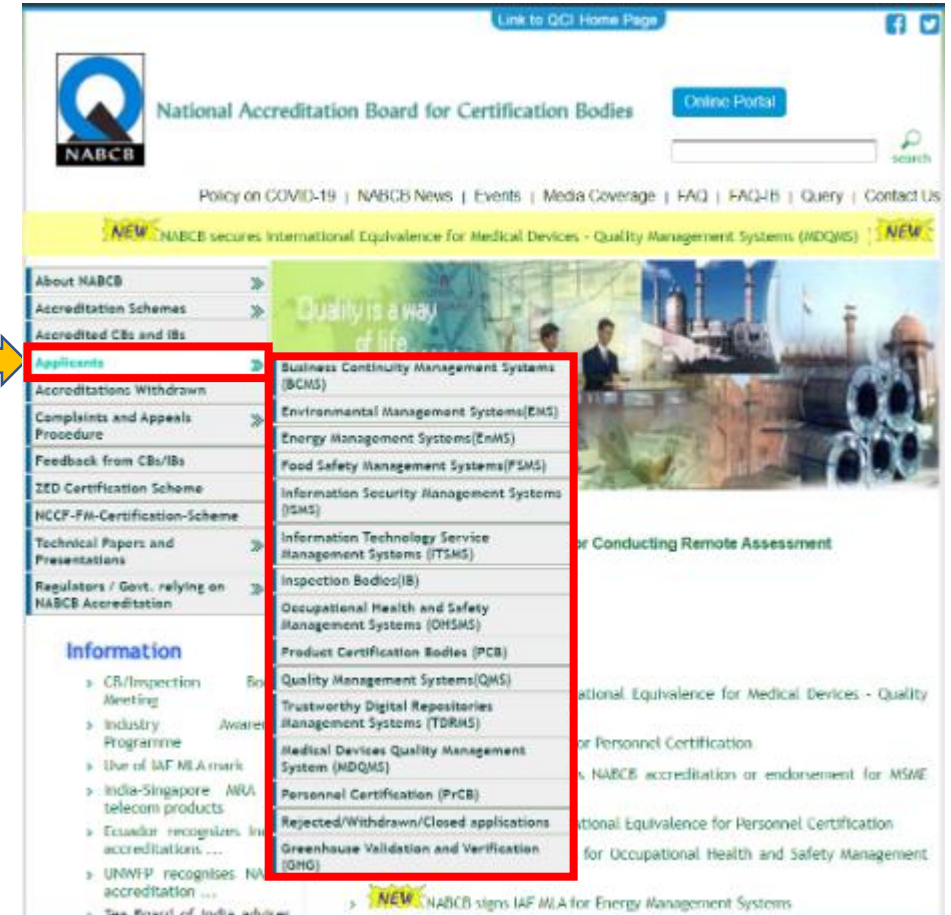
Accreditation Programmes	Accreditations	Applications
Quality Management Systems (QMS)	40	10
Environmental Management Systems (EMS)	13	05
Inspection Bodies (IB)	64	24
Food Safety Management Systems (FSMS)	18	10
Occupational Health and Safety Management Systems (OHSMS)	10	03
Product Certification (PCB)	16	06 (1-PEFC-CoC)
Information Security Management Systems (ISMS)	06	02
Energy Management Systems (EnMS)	05	-
Information Technology Service Management Systems (ITSMS)	01	-
Personnel Certification (PrCB)	06	01
Road Traffic Safety Management Systems (RTSMS)	-	-
Trustworthy Digital Repositories Management Systems (TDRMS)	01	-
Medical Devices QMS (MDQMS)	11	08
Greenhouse as Validation and Verification Bodies (GHG)	02	01
Business Continuity Management Systems (BCMS)	-	01

NABCB Accredited CB And IB Search

How to search for PCB



Website link for Accredited CABs
<http://nabcb.qci.org.in/accreditation/>



Website link for NABCB Applicant Scheme
<http://nabcb.qci.org.in/>

Quality Council of India

About QCI

- Set up in Jan 1997 by the Government of India with ASSOCHAM, CII & FICCI
- QCI is an independent autonomous organization under the Department for Promotion of Industry and Internal Trade (DPIIT), Ministry of Commerce & Industry, Govt. of India.
- QCI was established as the National Accreditation Body and to lead a quality movement by undertaking a National Quality Campaign
- Chairman of QCI is appointed by the Hon'ble Prime Minister of India

QCI Leadership



Mr. Adil Zainulbhai
Chairman



Dr. R. P. Singh
Secretary General

QCI Mandate

- Provide Accreditation Framework in the country
- Spread quality movement in India
- Provide right and unbiased information on Quality & related Standards
- Represent India's interest in International fora.
- Help establish quality of Indian products and services

Accreditation Process

Application

Eligibility:

- **Suitability of CA Scheme (BCB 002)**
- Operated the certification process for at **least 6 months**
- Granted at least **two certifications** under the Certification Scheme
- Minimum one **internal audit**
- One **management review**
- One meeting of the **impartiality committee**, if Established

Application...cont..

- ❖ Provision for online application using the link <https://nabcbportal.qci.org.in/>
- ❖ Legal entity – An organization which **can sue and be sued in its own name** as per the legal interpretation in the relevant economy.
- ❖ **Public or private limited company, LLP, a trust or a society**. Partnership firms and proprietary companies do not fit into this.
- ❖ An authorization letter shall be uploaded along with all other documents at the time of submitting the online application form , in case the application is **submitted by any one other than the top management** of the CAB



Document

Requirement for evaluation of conformity assessment schemes (CAS)

- The CAS shall meet the requirements of NABCB policy on Conformity assessment schemes and shall be accepted by NABCB. NABCB may need to review the certification scheme to determine its suitability for accreditation.
- The application shall be accepted and registered only after determination of suitability. – (BCB 002)
- PCB has the responsibility to obtain approval from the Scheme owner in case it is not the owner of the certification scheme for which it is seeking accreditation.

Validity of Application

- ❖ The assessment process for any applicant PCB must be completed **within a maximum of one year**.
- ❖ In the event that the process is not completed within one year, NABCB will take a decision and the application may then be kept active for one more year and applicant PCB may be given one chance to completely restart the assessment process afresh without paying any additional application fee. In such cases the assessment process must be completed in one additional year.
- ❖ **Max 2 years**

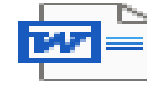
Assessment Process

- Preliminary Visit - optional
- Document review - 3 Mds + (beyond 2nd review & significant changes- Preliminary visit is mandatory)
- Initial Office Assessment (key activities) – 4 Mds + TEs
- Witness Assessment – same that of CB AT

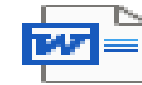
Document review

This shall cover all levels of documents of the PCB for the accreditation scheme applied for.

- Quality Manual
- Procedures
- Scheme document
- Competence Criteria for personal involved in certification activities
- Forms/Formats of PCB
- Completed DRR format BCB F010 (PCB) and
- Completed Scheme based DR (PEFC CoC)



DRR_BCB
F10(PCB)



DRR BCB F10
(PEFC CoC)



Office Assessment

- ❖ Assessment Plan by TL – PCB
- ❖ Opening meeting
- ❖ Review of docs/interaction with personnel
- ❖ Review of Client files/Personnel files
- ❖ Identifying any findings – categorization
- ❖ Closing meeting – providing finding – recommendations
- ❖ Report Preparation- within defined time line- 7days



Key activities

- ❖ Policy formulation;
- ❖ Process and/or procedure development;
- ❖ Initial approval of, or control of their training;
- ❖ On-going monitoring of personnel;
- ❖ Contract review;
- ❖ Audit planning
- ❖ Handling of samples and items,
- ❖ Control of SA/Rcert Audits/
- ❖ Report review/cert decision issue of certificates

Witness Assessment

- ❖ At least two audits/evaluations -witnessed
- ❖ if the PCB has applied for more than one Scheme, it would be one witness per Scheme.
- ❖ NABCB shall decide on how many witnesses would be needed to cover the entire scope of accreditation sought by the applicant PCB.

Witness Assessment....cont..

PCB shall provide:

- 1) Brief of client organization
- 2) Application received
- 3) Contract review along with audit man-days estimation
- 4) Record of evaluator / auditor qualification for the scope/scheme - supporting documents like CVs, knowledge & skills defined and evaluation record etc. and information on how team competence is built up for the scope/scheme.
- 5) **Approval from scheme owner for the auditors, if needed by scheme.**
- 6) Last audit report for the same client organization, if any
- 7) audit plan
- 8) CB's procedures

Accreditation process

- ❖ Closure of all findings
- ❖ Consolidated/Final Report (IOA+BOA+WAs)
- ❖ Independent T/Review of Final Report
- ❖ Secretariat reco. to Accr. Committee for decisions
- ❖ Communication of decision to applicant PCB
- ❖ Singing of accreditation agreement – grant of Accreditation – initially for 3 years – RA for 4 years

Post Accreditation Activities

➤ Annual Surveillance Assessment

- Office Assessment – 2 mds + based on no. of schemes
 - SA1 in 9 months and gap between SAs shall not exceed 15 months
 - SA - HO+ BO (based on Risk Assessment), if any
- Routine Witness Assessment based on Risk Assessment (Mds- same as that of CBAT)
 - No. of certificates issued (six months) – 20 (1)-50 (2)-100(4) >100 (6)
 - No of schemes/scopes
 - Availability of auditors/evaluators: 1-5(1);6-25 (3);>25 (7)
 - Scheme requirements
 - At least 1 WA per year

Post Accreditation Activities

➤ **Other Surveillance Activities**

- Call for audit reports for review offsite – quarterly basis- on chargeable basis
- Client visit – with certain trigger

➤ **Scope Extension**

➤ **Re-accreditation**

- Closure of Critical / Major NCs
- PCA for Minor NCs/Concerns

Thank You!!

National Accreditation Board for Certification Bodies (NABCB) Quality Council of India

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